

Data Protection Information

UI BVK Kapitalverwaltungsgesellschaft mbH

UI BVK Kapitalverwaltungsgesellschaft mbH (UBK) takes the protection of your personal data very seriously. We process personal data collected from you when you visit our websites or contact us via social media, if you send us application documents or for other business purposes as part of a business cooperation.

Your personal data will always be processed in compliance with the applicable data protection regulations. We will neither publish your data nor pass it on to third parties without authorisation. In the following, we explain which data we collect during your visit to our website and the contact options beyond that, and exactly how this data is used. We will also inform you about further data processing.

1. Data protection at a glance

I. General information

The following information provides a simple overview of what happens to your personal data when you visit our website, or we receive your information through another means. Personal data is any data by which you can be personally identified. For detailed information on the subject of data protection, please refer to our data protection declaration listed below this text.

We process your data only for the purposes stated in each case and delete your data as soon as the purpose for processing your data has been fulfilled. The duration of processing is determined by the respective relationship between you and UI BVK Kapitalverwaltungsgesellschaft mbH, as well as the regulatory requirements to which UI BVK Kapitalverwaltungsgesellschaft mbH is subject.

II. Data collection on our website

Who is responsible for data collection on this website?

Data processing on this website is carried out by the website operator. You can find his contact details in the imprint of this website.

How do we collect your data?

On the one hand, your data is collected by you providing it to us. This may, for example, be data that you enter in a contact form. Other data is collected automatically by our IT systems when you visit the website. This is mainly technical data (e.g. internet browser, operating system or time of page view). This data is collected automatically as soon as you enter our website.

What do we use your data for?

Part of the data is collected to ensure error-free provision of the website. Other data may be used to analyse your user behaviour.

What rights do you have regarding your data?

You have the right to receive information free of charge at any time about the origin, recipient and purpose of your stored personal data. You also have the right to demand the correction, blocking or deletion of this data. You can contact us at any time at the address given in the imprint for this purpose and for further questions on the subject of data protection. Furthermore, you have the right to lodge a complaint with the competent supervisory authority. You also have the right to request the restriction of the processing of your personal data under certain circumstances. For details, please refer to the data protection declaration under "Right to restriction of processing".

Analysis tools and third-party tools

When visiting our website, your surfing behaviour may be statistically analysed. This is done primarily with cookies and with so-called analysis programs. The analysis of your surfing behaviour is usually anonymous; the surfing behaviour cannot be traced back to you. You can object to this analysis or prevent it by not using certain tools. You will find detailed information on this in the following data protection declaration. You can object to this analysis. We will inform you about the possibilities of objection in this data protection declaration.

2. General notes and obligatory information

Data protection

The operators of these pages take the protection of your personal data very seriously. We treat your personal data confidentially and in accordance with the statutory data protection regulations and this data protection declaration.

When you use this website, various personal data are collected. Personal data is data by which you can be personally identified. This Privacy Policy explains what information we collect and how we use it. It also explains how and for what purpose this is done.

We would like to point out that data transmission on the Internet (e.g. when communicating by e-mail) can have security gaps. Complete protection of data against access by third parties is not possible.

Note on the responsible body

The responsible body for data processing on this website is:

UI BVK Kapitalverwaltungsgesellschaft mbH
Europa-Allee 92-96
60486 Frankfurt am Main
Germany
T: +49 69 71043-0
info@universal-investment.com

The controller is the natural or legal person who alone or jointly with others determines the purposes and means of the processing of personal data (e.g. names, e-mail addresses, etc.).

Data protection officer

We have appointed a Group Data Protection Office for our company.

If you would like to contact us, please write at:

UI BVK Kapitalverwaltungsgesellschaft mbH
Group Data Protection Office
Europa-Allee 92-96
60486 Frankfurt am Main
Germany
gdpo@universal-investment.com

Revocation of your consent to data processing

Many data processing operations are only possible with your express consent. You can revoke consent you have already given at any time. For this purpose, an informal communication by e-mail to us is sufficient. The legality of the data processing carried out until the revocation remains unaffected by the revocation.

Right to object to data collection in special cases and to direct advertising (Art.

21 GDPR)

If data processing is carried out on the basis of Art. 6(1)(e) or (f) GDPR, you have the right to object to the processing of your personal data at any time on grounds relating to your particular situation; this also applies to profiling based on these provisions. The respective legal basis on which processing is based can be found in this data protection declaration. If you object, we will no longer process your personal data concerned unless we can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms, or the processing serves the purpose of asserting, exercising or defending legal claims (objection under Article 21(1) of the GDPR).

If your personal data is processed for the purpose of direct marketing, you have the right to object at any time to the processing of personal data concerning you for the purpose of such marketing; this also applies to profiling insofar as it is related to such direct marketing. If you object, your personal data will subsequently no longer be used for the purpose of direct advertising (objection pursuant to Art. 21 (2) GDPR).

Right of appeal to the competent supervisory authority

In the event of breaches of the GDPR, data subjects shall have a right of appeal to a supervisory authority, in particular in the Member State of their habitual residence, their place of work or the place of the alleged breach. The right of appeal is without prejudice to any other administrative or judicial remedy.

Right to data portability

You have the right to have data that we process automatically on the basis of your consent or in performance of a contract handed over to you or to a third party in a common, machine-readable format. If you request the direct transfer of the data to another controller, this will only be done insofar as it is technically feasible.

SSL or TLS encryption

For security reasons and to protect the transmission of confidential content, such as orders or enquiries that you send to us as the site operator, this site uses SSL or TLS encryption. You can recognise an encrypted connection by the fact that the address line of the browser changes from "http://" to "https://" and by the lock symbol in your browser line.

If SSL or TLS encryption is activated, the data you transmit to us cannot be read by third parties.

Information, blocking, deletion and correction

Within the framework of the applicable legal provisions, you have the right at any time to free information about your stored personal data, its origin and recipient and the purpose of the data processing and, if applicable, a right to correction, blocking or deletion of this data. For this purpose, as well as for further questions on the subject of personal data, you can contact us at any time at the address given in the imprint.

Right to restriction of processing

You have the right to request the restriction of the processing of your personal data. To do this, you can contact us at any time at the address given in the imprint. The right to restriction of processing exists in the following cases:

If you dispute the accuracy of your personal data stored by us, we usually need time to check this. For the duration of the verification, you have the right to request the restriction of the processing of your personal data.

If the processing of your personal data has happened / is happening unlawfully, you can request the restriction of data processing instead of erasure.

If we no longer need your personal data, but you need it to exercise, defend or assert legal claims, you have the right to request restriction of the processing of your personal data instead of erasure.

If you have lodged an objection pursuant to Art. 21 (1) GDPR, a balancing of your and our interests must be carried out. As long as it has not yet been determined whose interests prevail, you have the right to demand the restriction of the processing of your personal data.

If you have restricted the processing of your personal data, this data may - apart from being stored - only be processed with your consent or for the assertion, exercise or defence of legal claims or for the protection of the rights of another natural or legal person or for reasons of important public interest of the European Union or a Member State.

Objection to advertising e-mails

The use of contact data published within the framework of the imprint obligation for the purpose of sending advertising and information material not expressly requested is hereby objected to. The operators of the pages expressly reserve the right to take legal action in the event of the unsolicited sending of advertising information, for example by spam e-mails.

Whistleblowing system

To implement the whistleblower system requirements, we work together with CLARIUS.LEGAL Rechtsanwaltsaktiengesellschaft, Neuer Wall 77 20354 Hamburg, Germany.

If you send us a message via the whistleblower form or the message function, your entries from the form will be stored by us for the purpose of processing the whistleblowing. You always have the option of submitting the message without providing personal data.

The processing of this data is based on Art. 6 (1) lit. c DSGVO, provided that we are obliged to accept reports via a reporting channel. In all other cases, the processing is based on our legitimate interest in effective communication and processing of the notices addressed to us (Art. 6 (1) (f) DSGVO).

The data you entered in the form will remain with us until the processing of the notification is completed, the purpose for data storage ceases to apply. After that, the data from the notification and processing will be stored for up to 3 years within the general limitation periods. The basis is the legitimate interest (Art. 6 para. 1 lit. f DSGVO) to defend against claims by third parties. The documentation may be kept longer to fulfill the requirements under this law or other legal provisions, as long as this is necessary and proportionate.

3. Data collection on our website

Server log files

When you visit our website, our web servers temporarily store every access in a log file. The following data is collected and stored until it is automatically deleted:

- IP address of the requesting computer
- Date and time of access
- Name and URL of the accessed file
- Amount of data transferred
- Message as to whether the retrieval was successful
- Identification data of the browser and operating system used
- Website (URL) from which the file was accessed

Your Internet browser automatically transmits this data to our web server when you access our website. This data is processed for the purpose of enabling the use of the website (connection establishment), system security, technical administration of the network infrastructure and optimisation of the Internet offer. The IP address is only stored temporarily and in a shortened form to enable our web analysis tool to geolocate page accesses; there is no evaluation at individual person level. Personal user profiles are not created. The German Telemedia Act (TMG) permits the use of user profiles under a pseudonym in accordance with § 15 para. 3 TMG, provided the user does not object to this. If we make use of this option on our website, we will inform you of your right to object at the appropriate place.

Personal data will not be collected and processed beyond the aforementioned cases unless you expressly consent to further processing. The collection of this data is based on Art. 6 para. 1 lit. f GDPR. The website operator has a legitimate interest in the technically error-free presentation and optimisation of its website - for this purpose, the server log files must be collected.

Use and disclosure of personal data

Any use of your personal data will only be for the purposes stated and to the extent necessary to achieve these purposes. Personal data will only be transferred to state institutions and authorities within the framework of mandatory national legal provisions or if the transfer is necessary for legal and criminal prosecution in the event of attacks on our network infrastructure. Data will not be passed on to third parties for any other purpose.

Consent to further use

The use of certain offers on our website, such as newsletters, requires prior registration and further processing of personal data, for example longer-term storage of e-mail addresses, user IDs and passwords. Such data is only used if you have submitted it to us and consented to its use in advance.

Recruitment

In connection with an application or enquiry, whether made on our website or otherwise, UBK will receive personal data from you, such as a CV. UBK may use this personal data within the company for the purpose of making an employment decision or responding to the enquiry. In the case of applications that do not lead to employment, the documents will be deleted no later than six months after the end of the application process. Application documents will only be kept for subsequent selection procedures if you have given your prior consent.

Orders

If you order information material, we will only use the address data provided to process the order. It will not be passed on to third parties.

Security

UBK uses technical and organisational security measures in order to protect the personal data we have under our control against accidental or intentional manipulation, destruction or against access by unauthorised persons. Our security measures are continuously improved in line with technological developments.

Cookies

Cookies are only used to store data for technical session control in the memory of your browser. If, in exceptional cases, we also wish to store personal data in a cookie, such as a user ID, you will be informed of this separately. Of course, you can also view our website without cookies. However, most browsers accept cookies automatically. You can prevent cookies from being saved by specifying this in your browser settings. If you do not accept cookies, this may lead to functional restrictions of our offer.

You can make your individual cookie settings here: <https://www.universal-investment.com/en/Cookie-Consent/>

By default, UBK does not use cookies that contain personal data on the website www.universal-investment.com.

However, the following types of cookies are set on the website:

- Functional cookies

- Technical cookies for distributing requests to different servers in the backend (the content of the cookies does not vary per user)
- Cookies for service measurement and monitoring by Microsoft. These cookie values vary per user and browser: the UBK receives a different value in the cookie for each computer/browser/PC user. These values are unique, but do not allow any conclusions to be drawn about the person.
- Cookies for measuring user behaviour by Google Analytics
- Cookies from Cookiebot to enable you to deactivate various types of cookies.

The cookies from Google Analytics (web analysis) and Microsoft (technical analysis) have a duration of up to 14 months - the content of these cookies and their duration cannot be influenced by us. These cookies from Microsoft and Google Analytics are already set when the address www.universal-investment.com is called up - i.e. before a message is displayed. When the PowerPortal is called up, an authentication cookie is set (duration one day after the user logs in) and a cookie for saving a date (duration 1 year), as well as two session cookies (duration until the browser is closed). The data of the cookies are not linked to your other data.

Cookie consent with Cookiebot (Cookie Consent)

Our website uses the cookie consent technology of Cookiebot to obtain your consent to the storage of certain cookies on your end device and to document this in accordance with data protection regulations. The provider of this technology is Cybot A/S, Havnegade 39, 1058 Copenhagen, Denmark (hereinafter "Cookiebot"). When you enter our website, a connection is established to Cookiebot's servers in order to obtain your consents and other declarations regarding cookie use. Cookiebot then stores a cookie in your browser in order to be able to allocate the consents given to you or their revocation. The data collected in this way is stored until you request us to delete it, delete the Cookiebot cookie yourself or the purpose for storing the data no longer applies. Mandatory legal storage obligations remain unaffected. Cookiebot is used to obtain the legally required consent for the use of cookies. The legal basis for this is Art. 6 para. 1 p. 1 lit. c GDPR.

You can view all cookie settings here and change them at any time: <https://www.universal-investment.com/en/Cookie-Consent/>

Contact form

If you send us enquiries via the contact form, your details from the enquiry form, including the contact details you provide there, will be stored by us for the purpose of processing the enquiry and in the event of follow-up questions. We do not pass on this data without your consent.

The processing of the data entered in the contact form is therefore based exclusively on your consent (Art. 6 para. 1 lit. a GDPR). You can revoke this consent at any time. An informal communication by e-mail to us is sufficient for this purpose. The legality of the data processing operations carried out until the revocation remains unaffected by the revocation.

The data you entered in the contact form will remain with us until you request us to delete it, revoke your consent to store it or the purpose for storing the data no longer applies (e.g. after we have completed processing your request). Mandatory legal provisions - in particular retention periods - remain unaffected.

Enquiry by e-mail, telephone or fax

If you contact us by e-mail, telephone or fax, your enquiry including all personal data resulting from it (name, enquiry) will be stored and processed by us for the purpose of processing your request. We do not pass on this data without your consent.

The processing of this data is based on Art. 6 (1) lit. b GDPR if your request is related to the performance of a contract or is necessary for the implementation of pre-contractual measures. In all other cases, the processing is based on your consent (Art. 6 para. 1 lit. a GDPR) and / or on our legitimate interests (Art. 6 para. 1 lit. f GDPR), as we have a legitimate interest in effectively processing the enquiries sent to us.

The data you send to us via contact requests will remain with us until you request us to delete it, revoke your consent to store it or the purpose for storing the data no longer applies (e.g. after we have completed processing your request). Mandatory statutory provisions - in particular statutory retention periods - remain unaffected.

4. Social Media

Social media plugins

Plugins from social media are used on our pages (e.g. Facebook, Twitter, Google+, Instagram, XING, LinkedIn, YouTube).

You can usually recognise the plugins by the respective social media logos. Only when you activate the respective plugin by clicking on the associated button will a direct connection to the provider's server be established (consent). As soon as you activate the plugin, the respective provider receives the information that you have visited our site with your IP address. If you are logged into your respective social media account (e.g. Facebook) at the same time, the respective provider can assign the visit to our pages to your user account.

Activating the plugin constitutes consent within the meaning of Art. 6 para. 1 lit. a GDPR. You can revoke this consent at any time with effect for the future.

Twitter Plugin

Functions of the Twitter service are integrated on our pages. These functions are offered by Twitter Inc., 1355 Market Street, Suite 900, San Francisco, CA 94103, USA. By using Twitter and the "Re-Tweet" function, the websites you visit are linked to your Twitter account and made known to other users. Data is also transferred to Twitter in the process. We would like to point out that we, as the provider of the pages, have no knowledge of the content of the transmitted data or its use by Twitter. You can find more information on this in Twitter's privacy policy at: <https://twitter.com/de/privacy>.

The use of the Twitter plugin is based on Art. 6 para. 1 lit. f GDPR. The website operator has a legitimate interest in ensuring the greatest possible visibility in social media.

You can change your privacy settings on Twitter in the account settings at <https://twitter.com/account/settings>.

1. LinkedIn plugin

Our website uses functions of the LinkedIn network. The provider is LinkedIn Corporation, 2029 Stierlin Court, Mountain View, CA 94043, USA.

Each time one of our pages containing LinkedIn functions is accessed, a connection to LinkedIn servers is established. LinkedIn is informed that you have visited our website with your IP address. If you click the LinkedIn "Recommend" button and are logged into your LinkedIn account, it is possible for LinkedIn to associate your visit to our website with you and your user account. We would like to point out that we, as the provider of the pages, have no knowledge of the content of the transmitted data or its use by LinkedIn.

The use of the LinkedIn plugin is based on Art. 6 para. 1 lit. f GDPR. The website operator has a legitimate interest in ensuring the greatest possible visibility in social media. Further information on this can be found in LinkedIn's privacy policy at: <https://www.linkedin.com/legal/privacy-policy>.

2. LinkedIn Analytics and LinkedIn Ads.

We use the conversion tracking technology and the re-targeting function of the LinkedIn Corporation on our website.

2.1 With the help of this technology, visitors to this website can be shown personalised advertisements on LinkedIn. Furthermore, the possibility arises to create anonymous reports on the performance of the advertisements as well as information on website interaction. For this purpose, the LinkedIn Insight tag is embedded on this website, which establishes a connection to the LinkedIn server if you visit this website and are logged into your LinkedIn account at the same time.

2.2 In the privacy policy of LinkedIn at <https://www.linkedin.com/legal/privacy-policy> you will find more information on data collection and data use as well as the options and rights to protect your privacy. If you are logged in to LinkedIn, you can deactivate the data collection at any time using the following link: <https://www.linkedin.com/psettings/enhanced-advertising>.

2.3 Lead Gen Form

We use the data you provide via LinkedIn to process your request regarding the respective document. If, when ordering the respective document, you have given us permission pursuant to Art. 6 para. 1 lit. a. GDPR to send you additional communications about products and services, we may also use your contact data for this purpose. We do not pass on the data we receive from you via LinkedIn to third parties and we delete your data as soon as the legal basis for the processing no longer applies. In addition, you have the right to object to the processing of your data at any time. For your other data subject rights, please refer to the section of the General Notices and Mandatory Information in this Privacy Notice.

XING Plugin

Our website uses functions of the XING network. The provider is XING AG, Dammtorstraße 29-32, 20354 Hamburg, Germany.

Each time one of our pages containing XING functions is called up, a connection to XING servers is established. As far as we are aware, no personal data is stored in

this process. In particular, no IP addresses are stored or usage behaviour evaluated.

The use of the XING plugin is based on Art. 6 (1) lit. f GDPR. The website operator has a legitimate interest in ensuring the greatest possible visibility in social media.

Further information on data protection and the XING Share button can be found in the XING privacy policy at: https://www.xing.com/app/share?op=data_protection.

5. Analysis tools and Advertising

Google Analytics

This website uses functions of the web analysis service Google Analytics. The provider is Google Inc, 1600 Amphitheatre Parkway, Mountain View, CA 94043, USA.

Google Analytics uses so-called "cookies". These are text files that are stored on your computer and enable an analysis of your use of the website. The information generated by the cookie about your use of this website is usually transmitted to a Google server in the USA and stored there.

The storage of Google Analytics cookies and the use of this analysis tool are based on Art. 6 (1) lit. f GDPR. The website operator has a legitimate interest in analysing user behaviour in order to optimise both its website and its advertising.

IP anonymisation

We have activated the IP anonymisation function on this website. This means that your IP address is shortened by Google within member states of the European Union or in other states party to the Agreement on the European Economic Area before being transmitted to the USA. Only in exceptional cases will the full IP address be transmitted to a Google server in the USA and shortened there. On behalf of the operator of this website, Google will use this information for the purpose of evaluating your use of the website, compiling reports on website activity and providing other services relating to website activity and internet usage to the website operator. The IP address transmitted by your browser as part of Google Analytics will not be merged with other Google data.

Browser plugin

You may refuse the use of cookies by selecting the appropriate settings on your browser, however, please note that if you do this you may not be able to use the full functionality of this website. You can also prevent the collection of data generated by the cookie and related to your use of the website (including your IP address) by Google and the processing of this data by Google by downloading and installing the browser plugin available at the following link: <https://tools.google.com/dlpage/gaoptout?hl=de>.

Objection to data collection

You can prevent the collection of your data by Google Analytics by clicking on the following link. An opt-out cookie will be set, which will prevent the collection of your data during future visits to this website: Deactivate Google Analytics.

For more information on how Google Analytics handles user data, please see Google's privacy policy:
<https://support.google.com/analytics/answer/6004245?hl=de>.

Data processing

We have concluded an order data processing agreement with Google and fully implement the strict requirements of the German data protection authorities when using Google Analytics.

Demographic characteristics with Google Analytics

This website uses the "demographic characteristics" function of Google Analytics. This allows reports to be generated that contain statements about the age, gender and interests of site visitors. This data comes from interest-based advertising from Google as well as visitor data from third-party providers. This data cannot be assigned to a specific person. You can deactivate this function at any time via the ad settings in your Google account or generally prohibit the collection of your data by Google Analytics as shown in the item "Objection to data collection".

Storage duration

Data stored by Google at user and event level that is linked to cookies, user identifiers (e.g. User ID) or advertising IDs (e.g. DoubleClick cookies, Android advertising ID) is anonymised or deleted after 14 months. Details can be found under the following link:
<https://support.google.com/analytics/answer/7667196?hl=de>.

Google Analytics Remarketing

Our websites use the functions of Google Analytics Remarketing in conjunction with the cross-device functions of Google AdWords and Google DoubleClick. The provider is Google Inc, 1600 Amphitheatre Parkway, Mountain View, CA 94043, USA.

This function makes it possible to link the advertising target groups created with Google Analytics Remarketing with the cross-device functions of Google AdWords and Google DoubleClick. In this way, interest-based, personalised advertising messages that have been adapted to you depending on your previous usage and surfing behaviour on one end device (e.g. mobile phone) can also be displayed on another of your end devices (e.g. tablet or PC).

If you have given your consent, Google will link your web and app browsing history to your Google account for this purpose. In this way, the same personalised advertising messages can be displayed on every device on which you log in with your Google account.

To support this feature, Google Analytics collects Google-authenticated IDs of users, which are temporarily linked to our Google Analytics data to define and create audiences for cross-device ad targeting.

You can permanently object to cross-device remarketing/targeting by deactivating personalised advertising in your Google account; follow this link:
<https://www.google.com/settings/ads/onweb/>.

The aggregation of the collected data in your Google account is based solely on your consent, which you can give or withdraw at Google (Art. 6 para. 1 lit. a GDPR). In the case of data collection processes that are not merged in your Google account (e.g. because you do not have a Google account or have objected to the merging), the collection of data is based on Art. 6 (1) lit. f GDPR. The legitimate interest results from the fact that the website operator has an interest in the anonymised analysis of website visitors for advertising purposes.

Further information and the data protection provisions can be found in Google's data protection declaration at: <https://policies.google.com/technologies/ads?hl=de>.

Google AdWords and Google Conversion Tracking

This website uses Google AdWords. AdWords is an online advertising programme of Google Inc, 1600 Amphitheatre Parkway, Mountain View, CA 94043, United States ("Google").

Within the framework of Google AdWords, we use so-called conversion tracking. When you click on an ad placed by Google, a cookie is set for conversion tracking. Cookies are small text files that the internet browser stores on the user's computer. These cookies lose their validity after 30 days and are not used to personally identify the user. If the user visits certain pages of this website and the cookie has not yet expired, Google and we will be able to recognise that the user clicked on the ad and was redirected to this page.

Each Google AdWords customer receives a different cookie. The cookies cannot be tracked across AdWords customers' websites. The information obtained using the conversion cookie is used to create conversion statistics for AdWords customers who have opted in to conversion tracking. The customers learn the total number of users who clicked on their ad and were redirected to a page tagged with a conversion tracking tag. However, they do not receive any information that can be used to personally identify users. If you do not wish to participate in the tracking, you can object to this use by easily deactivating the Google conversion tracking cookie via your internet browser under user settings. You will then not be included in the conversion tracking statistics.

The storage of "conversion cookies" and the use of this tracking tool are based on Art. 6 (1) lit. f GDPR. The website operator has a legitimate interest in analysing user behaviour in order to optimise both its website and its advertising.

More information on Google AdWords and Google conversion tracking can be found in Google's privacy policy: <https://policies.google.com/privacy?hl=de>.

You can set your browser so that you are informed about the setting of cookies and only allow cookies in individual cases, exclude the acceptance of cookies for certain cases or in general and activate the automatic deletion of cookies when closing the browser. If you deactivate cookies, the functionality of this website may be limited.

6. Web kiosk system "Yumpu"

We use <https://www.yumpu.com/> of the company i-magazine AG ("i-mag" - Gewerbestrasse 3, 9444 Diepoldsau, CH) on our website. Yumpu provides a digital platform for publishing magazines, brochures or catalogues. Using Yumpu, the content of PDF files is presented as a so-called flip catalogue/flipbook and displayed directly in the web browser without loading the PDF files. Switzerland is

recognised by the European Commission as a country with an adequate level of data protection in accordance with Art 45 of the GDPR. Further information on this can be found [here](#). Yumpu sets strictly necessary cookies to ensure basic functions of the service. You can opt out of the use of these cookies at any time by adjusting your cookie settings accordingly. The procedure for doing this varies depending on the browser you use. Browsers can be set to reject a certain type of cookie or certain cookies. The browser can also indicate when a cookie is being sent. You can do this by selecting the settings in your web browser according to your cookie preferences. However, you may then have to manually adjust some settings every time you visit a website. This may result in some services and features not working properly.

Yumpu uses Google Analytics, a web analytics service provided by Google, Inc (1600 Amphitheatre Parkway Mountain View, CA 94043, USA). The use is based on Art. 6 para. 1 p. 1 lit. f. GDPR. Google Analytics uses cookies that enable an analysis of your use of the Yumpu service. The information generated by the cookie about your use of the website, such as browser type/version, operating system used, referrer URL (the previously visited page), host name of the accessing computer (IP address), time of the server request, is usually transmitted to a Google server in the USA and stored there. The IP address transmitted by your browser as part of Google Analytics will not be merged with other Google data. Yumpu has also extended Google Analytics with the code "anonymizeIP". This guarantees the masking of your IP address so that all data is collected anonymously. Only in exceptional cases will the full IP address be transferred to a Google server in the USA and shortened there.

Google Analytics deactivation link: You can prevent Google from recording further visits to this website. Attention: Deleting cookies, using the incognito/private mode of your browser, or using a different browser will result in data being collected again. Deactivate Google Analytics.

Log files: Yumpu automatically collects and stores information in so-called server log files, which your browser automatically transmits. We keep these for up to one month, after which they are deleted. These are:

- browser type and browser version
- Operating system used
- referrer URL
- requested URL
- Time of the server request
- IP address

This data is not merged with other data sources. The processing is carried out in accordance with Art. 6 para. 1 lit. f GDPR on the basis of the legitimate interest in improving the stability and functionality of the website. The data is not passed on or used in any other way.

7. Data protection information hash value

If e-mail addresses of participants are requested for the implementation of the Christmas fundraising campaign, these e-mail addresses will only be used for the described voting and for no other purposes. In order to keep your stored e-mail address secure and countable for the voting process, we will encrypt it using a hash value. Your data will not be passed on to any third party, third country or international organisation and will be deleted once the overall voting process has been completed. Hashing is an encryption technique. Hashing refers to the conversion of a string of characters (e.g. an email address) into a usually shorter,

numeric value of fixed length. This numeric value is the hash value and a different representation of the original string.

8. Plugins and Tools

Google Maps

This site uses the mapping service Google Maps via an API. The provider is Google Inc, 1600 Amphitheatre Parkway, Mountain View, CA 94043, USA.

To use the functions of Google Maps, it is necessary to store your IP address. This information is usually transferred to a Google server in the USA and stored there. The provider of this site has no influence on this data transmission.

Google Maps is used in the interest of an appealing presentation of our online offers and to make it easy to find the places we indicate on the website. This constitutes a legitimate interest within the meaning of Art. 6 Para. 1 lit. f GDPR.

More information on the handling of user data can be found in Google's privacy policy: <https://policies.google.com/privacy?hl=de>.

9. Data subject rights and contact details

You have a right to information regarding the personal data stored about you, as well as a right to correct incorrect data, a right to restrict processing, a right to delete your data and a right to data portability of your data. You have the right to object to the processing of your data at any time. You have the right to object to a decision based solely on automated processing.

You have the right to lodge a complaint with the competent supervisory authority in the event of violations of data protection law. The competent supervisory authority in matters of data protection law is the State Data Protection Commissioner of the federal state in which our company is based.

If you wish to assert your rights in relation to your personal data or if you have any further questions about the use of the data you have provided to us, please contact us as follows:

UI BVK Kapitalverwaltungsgesellschaft mbH
Group Data Protection Office
Europa-Allee 92-96
60486 Frankfurt am Main
Germany
gdpo@universal-investment.com

10. Inclusion, Validity and Up-to-dateness of the Data Protection Declaration

By using our website, you consent to the use of data as described above. The data protection declaration is currently valid and dated January 2025. Due to the further development of our websites or the implementation of new technologies, it may become necessary to amend this data protection declaration. UBK reserves the right to update the data protection declaration at any time with effect for the future.

We therefore recommend that you read the current data protection declaration again from time to time.

Contact

P +49 69 71043-0
info@universal-investment.com

UI BVK Kapitalverwaltungsgesellschaft mbH
Europa-Allee 92-96
60486 Frankfurt am Main – Germany